

COMMISSION ON TECHNOLOGY
Agenda Item Information/Action
Meeting Date: September 6, 2001

<i>Agenda Item:</i> <u>JCEF/TCPF FUNDING - CURRENT OPERATIONAL COMMITMENTS</u>	<i>Type of Action Requested:</i> [] Formal Action/Request [X] Information Only [] Other
FROM	

Karl Heckart

SUMMARY

The attached 2 spreadsheets reflect projections for revenue and spending through 2007.

There are two different budget scenarios: ***Operating/Maintenance*** and ***Effective/Achievement***. For both note the following:

- The time payment fee decreases on 12/31/03.
- There is a 1% revenue growth rate.
- There is a 5% expense growth rate.
- There is a schedule delay in the Maricopa Superior Court implementation of AZTEC which moves significant costs to 2004 and 2005.

For the ***Operating/Maintenance*** budget, note the following:

- The operating budgets have been “scrubbed” and represent minimum operational needs.
- There is a built-in transition from the current practice of relying heavily on consultants to a model with more internal staffing.
- See attached “Operational Cost Breakdown” for an explanation of the scrubbed operational budgets.

For the ***Effective/Achievement*** budget, note the following:

- Since we will be pursuing additional funding for automation, it is necessary to identify future projects and their funding needs. This spreadsheet reflects strategic projects identified by the COT and provides projected funding requirements for each.
- The “Pending Automation Projects - COT Approval” section contains budget estimates that suggest an “order of magnitude” rather than precise funding needs.
- The COT as well as its subcommittees may wish to add items to this list.

ACTION REQUESTED OR RECOMMENDED
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That COT members use this information as part of their discussion leading to Funding and Project Recommendations.

**COMMISSION ON TECHNOLOGY
JCEF/TCPF SPENDING PROJECTIONS: FY 2002**

1% Revenue Growth rate
5% Expense Growth rate

8/29/01 Time Pay Fee Ends as of 12/31/03
1,200,000

Operating/Maintenance Budgets

	FY2002	FY2003	FY2004	FY2005	FY2006	FY2007
Revenue	11,305,574	11,418,630	10,932,816	10,442,144	10,546,566	10,652,031
Carryforward	7,260,355	4,381,068	885,483	(1,458,168)	(4,877,662)	(8,750,528)
Available Funds	18,565,929	15,799,698	11,818,299	8,983,976	5,668,903	1,901,503
Program Budgets						
Court Programs						
Subtotal	4,048,027	4,250,428	4,462,950	4,686,097	4,920,402	5,166,422
JCEF Administration	260,000	273,000	286,650	300,983	316,032	331,833
TCPF (Defensive Driving)	482,679	506,813	532,154	558,761	586,699	616,034
PDF	560,000	588,000	617,400	648,270	680,684	714,718
Subtotal	1,302,679	1,367,813	1,436,204	1,508,014	1,583,414	1,662,585
Total Court Services	5,350,706	5,618,241	5,899,153	6,194,111	6,503,817	6,829,007
Outside Projects						
AZTEC Field Trainers	400,000	400,000	0	0	0	0
Total Outside Projects	400,000	400,000	0	0	0	0
Available for ITD	12,815,223	9,781,456	5,919,146	2,789,865	(834,913)	(4,927,504)
COT Operational Programs						
AJIN Operations	982,017	1,031,118	1,082,674	1,136,807	1,193,648	1,253,330
Field Support	274,821	288,562	302,990	318,140	334,047	350,749
ACAP Operations	2,196,174	2,131,998	2,238,598	2,350,527	2,468,054	2,591,457
Appellamtion	213,572	224,251	235,463	247,236	259,598	272,578
Commission on Technology	110,695	116,230	122,041	128,143	134,550	141,278
Data Warehouse Operations	350,041	367,543	385,920	405,216	425,477	446,751
Large Volume Court Operations	433,219	678,008	827,528	925,229	980,938	1,020,176
Automation Reserve	160,000	160,000	160,000	160,000	160,000	160,000
Strategic Project Coordination	94,350	99,068	104,021	109,222	114,683	120,417
Support Center	361,838	379,930	398,926	418,873	439,816	461,807
Data Center Lease	140,000	60,000	60,000	60,000	60,000	60,000
Subtotal	5,316,727	5,536,706	5,918,161	6,259,394	6,570,812	6,878,543

COMMISSION ON TECHNOLOGY
JCEF/TCPF SPENDING PROJECTIONS: FY 2002

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 5% Expense Growth rate

8/29/01 Time Pay Fee Ends as of 12/31/03
 1,200,000

Operating/Maintenance Budgets

	FY2002	FY2003	FY2004	FY2005	FY2006	FY2007
COT Commitments						
Large Volume Court Development - Tier I	1,848,445	2,643,294	505,360	313,940	161,930	128,150
Large Volume Court-PC Leasing	151,983	534,573	822,393	962,793	1,051,473	1,051,473
Justice Integration: Extract/Transform/Load Tool	207,000	41,400	41,400	41,400	41,400	41,400
Domestic Violence - Phase I	110,000	50,000	0	0	0	0
Total COT Commitments	2,317,428	3,269,267	1,369,153	1,318,133	1,254,803	1,221,023
COT Contingent Commitments						
Justice Integration Pilot	150,000	90,000	90,000	90,000	90,000	90,000
Maricopa County Clerk of the Superior Court - EDMS - \$400,000	400,000	0	0	0	0	0
Maricopa County Clerk of the Superior Court - RFR - \$250,000	250,000	0	0	0	0	0
Total COT Contingent Commitments	800,000	90,000	90,000	90,000	90,000	90,000
Pending Automation Projects - COT approval						
Domestic Violence - Phase II	0	0	0	0	0	0
Justice Integration - Statewide	0	0	0	0	0	0
Web Portal	0	0	0	0	0	0
Jury	0	0	0	0	0	0
DUI Repository	0	0	0	0	0	0
Defendant Appearance Repository	0	0	0	0	0	0
On-Line Citation Payment	0	0	0	0	0	0
Collections	0	0	0	0	0	0
EDMS	0	0	0	0	0	0
Equipment Replacement	0	0	0	0	0	0
Total Automation	0	0	0	0	0	0
County Projects - COT approval						
Apache County Clerk of Superior Court - \$34,326	0					
Greenlee County Superior Court - \$28,579	0					
Pinal County Clerk of the Superior Court - \$184,315	0					
Pinal County Clerk of the Superior Court - \$62,000	0					
Total New Automation	0	0	0	0	0	0
TOTAL AUTOMATION	8,434,155	8,895,973	7,377,314	7,667,527	7,915,615	8,189,566
END OF YEAR BALANCE	4,381,068	885,483	(1,458,168)	(4,877,662)	(8,750,528)	(13,117,070)

OPERATIONAL COST BREAKDOWN

<u>ACAP</u>	Total:	2,196,174	
Sustain operations			1,250,975
Subproject release AZTEC .8 (10/01)			142,065
Subproject release AZTEC 1.0 (3/02)			370,841
Subproject release AZTEC 1.5 (10/02)			432,293
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<u>AJIN</u>	Total:	982,017	
Sustain operations			860,114
Security			76,634
Upgrades for current capacity needs			45,269
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<u>APPELLAMATION</u>	Total:	213,572	
Sustain operations			193,572
Upgrades for current capacity needs			20,000
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<u>DATA WAREHOUSE</u>	Total:	350,041	
Sustain operations			180,340
Grant Programs Matching Funds			50,000
Data Analysis and Design			59,533
Table Code Standardization			60,168
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<u>JI/ETL</u>	Total:	207,000	
Acquisition of Extraction, Transformation, Load Tool			207,000
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<u>JI Pilot</u>	Total:	150,000	
Sustain Operations			90,000
Subproject - Horizontal County Integration with AZTEC			60,000
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<u>Strategic Projects</u>		94,350	
Sustain Operations			94,350
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LARGE VOLUME COURT BUDGET BREAKDOWN

	2001	2002	2003	2004	2005	2006	2007
Maricopa Superior							
Criminal							
PC Lease	0	0	0 480	168480 60	189540 50	207090 0	207090
Informix	0	0	0 380	213940 60	33780 50	28150 0	0
DB Maint	0	0	0	64600	74800	83300	83300
Development	130,000	400000	220000				
Financial							
PC Lease	0 40	14040 480	182520 20	189540 20	196560	196560 0	196560
Informix	0 40	22520 480	270240 20	11260 20	11260	0 0	0
DB Maint	0	6800	88400	91800	95200	95200	95200
Development		1000000	250000				
Civil/Tax/Probate							
PC Lease	0	0 0	0 0	0 320	112320 60	133380 50	133380
Informix	0	0 0	0 0	0 300	168900 60	33780 50	28150
DB Maint	0	0	0	0	51000	61200	69700
Development			800000				
TOTAL	130,000	1443360	1811160	739620	933360	838660	813380
Maricopa Justice							
PC Lease	0	0 300	105300 180	168480	168480	168480	168480
Informix	0	0 300	168900 180	101340	0	0	0
DB Maint	0	0	51000	81600	81600	81600	81600
Development			200000				
TOTAL	0	0	525200	351420	250080	250080	250080
Tucson City							
PC Lease	0 130	45630 130	91260 0	91260	91260	91260	91260
Informix	0 130	73190 130	73190 0	0	0	0	0
DB Maint	0	22100	44200	44200	44200	44200	44200
Development		100000	200000				
TOTAL	0	240920	408650	135460	135460	135460	135460
Pima Superior							
Criminal							
PC Lease	0 263	92313	92313	92313	92313	92313	92313
Informix	0	0 248	139624	0	0	0	0
DB Maint	0	0	42160	42160	42160	42160	42160
Development		240000					
Financial							
PC Lease	0	0	0	0	0	0	0
Informix	0	0	0	0	0	0	0
DB Maint	0	0	0	0	0	0	0
Development							
Civil							
PC Lease	0	0 180	63180	63180	63180	63180	63180
Informix	0	0 180	101340	0	0	0	0
DB Maint	0	0	30600	30600	30600	30600	30600
Development			120000				
Probate/Calendar							
PC Lease	0	0	0	0	0	0	0
Informix	15	8,445	0	0	0	0	0
DB Maint		2,550	2550	2550	2550	2550	2550
TOTAL	10,995	334863	591767	230803	230803	230803	230803
PIMA Justice							
PC Lease	0	0	0 140	49140	49140	49140	49140
Informix	0	0	0 140	78820	0	0	0
DB Maint	0	0	0	23800	23800	23800	23800
Development							
TOTAL	0	0	0	151760	72940	72940	72940
Ongoing Enhancement					450000	450000	450000
Developer Costs	120,000	100000	100000	100000	100000	100000	100000
GRAND TOTAL	260,995	2,119,143	3,436,777	1,709,063	2,172,643	2,077,943	2,052,663
PC Lease Cost	0	151,983	534,573	822,393	962,793	1,001,403	1,001,403
Development Cost	250,000	1,840,000	1,890,000	100,000	100,000	100,000	100,000
Annual PC Lease	468						
LVC Ops		433,219	678,008	827,528	925,229	980,938	1,028,676
Development		1,935,710	2,643,294	505,360	313,940	161,930	128,150
PC Leasing		151,983	534,573	822,393	962,793	1,051,473	1,051,473

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Effective/Achievement Budgets

	FY2002	FY2003	FY2004	FY2005	FY2006	FY2007
Revenue	11,305,574	11,418,630	10,932,816	10,442,144	10,546,566	10,652,031
Carryforward	7,260,355	3,402,158	(3,792,595)	(9,907,950)	(17,332,502)	(24,833,883)
Available Funds	18,565,929	14,820,788	7,140,221	534,194	(6,785,936)	(14,181,851)
Program Budgets						
Court Programs						
Subtotal	4,097,086	4,301,940	4,517,037	4,742,889	4,980,034	5,229,035
JCEF Administration	260,000	273,000	286,650	300,983	316,032	331,833
TCPF (Defensive Driving)	482,679	506,813	532,154	558,761	586,699	616,034
PDTF	560,000	588,000	617,400	648,270	680,684	714,718
Subtotal	1,302,679	1,367,813	1,436,204	1,508,014	1,583,414	1,662,585
Total Court Services	5,399,765	5,669,753	5,953,241	6,250,903	6,563,448	6,891,621
Outside Projects						
AZTEC Field Trainers	400,000	400,000	400,000	400,000	400,000	400,000
Total Outside Projects	400,000	400,000	400,000	400,000	400,000	400,000
Available for ITD	12,766,164	8,751,034	786,980	(6,116,709)	(13,749,384)	(21,473,472)
COT Operational Programs						
AJIN Operations	1,000,298	1,050,313	1,102,829	1,157,970	1,215,868	1,276,662
Field Support	274,821	288,562	302,990	318,140	334,047	350,749
ACAP Operations	2,196,174	2,131,998	2,238,598	2,350,527	2,468,054	2,591,457
Appellamtion	259,652	272,635	286,266	300,580	315,609	331,389
Commission on Technology	136,750	143,588	150,767	158,305	166,220	174,532
Data Warehouse Operations	570,514	599,040	628,992	660,441	693,463	728,136
Large Volume Court Operations	498,581	678,008	827,528	925,229	980,938	1,020,176
Automation Reserve	160,000	160,000	160,000	160,000	160,000	160,000
Strategic Project Coordination	103,590	108,770	114,208	119,918	125,914	132,210
Support Center	385,838	405,130	425,386	446,656	468,989	492,438
Data Center Lease	140,000	60,000	60,000	60,000	60,000	60,000
Subtotal	5,726,218	5,898,042	6,297,564	6,657,767	6,989,103	7,317,748

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1,200,000

Effective/Achievement Budgets

	FY2002	FY2003	FY2004	FY2005	FY2006	FY2007
COT Commitments						
Large Volume Court Development - Tier I	1,935,710	2,643,294	505,360	313,940	161,930	128,150
Large Volume Court-PC Leasing	151,983	534,573	822,393	962,793	1,051,473	1,051,473
Justice Integration: Extract/Transform/Load Tool	207,000	41,400	41,400	41,400	41,400	41,400
Domestic Violence - Phase I	110,000	50,000	0	0	0	0
Total COT Commitments	2,404,693	3,269,267	1,369,153	1,318,133	1,254,803	1,221,023
COT Contingent Commitments						
Justice Integration Pilot	273,875	90,000	90,000	90,000	90,000	90,000
Maricopa County Clerk of the Superior Court - EDMS - \$400,000	400,000	0	0	0	0	0
Maricopa County Clerk of the Superior Court - RFR - \$250,000	250,000	0	0	0	0	0
Total COT Contingent Commitments	923,875	90,000	90,000	90,000	90,000	90,000
Pending Automation Projects - COT approval						
Domestic Violence - Phase II	0	335,500	181,833	190,925	200,471	210,495
Justice Integration - Statewide	0	968,913	635,672	657,526	680,261	703,940
Web Portal	0	128,024	68,765	72,203	75,813	79,604
Jury	0	226,850	382,550	629,550	150,950	158,498
DUI Repository	0	173,333	36,333	38,150	40,058	42,060
Defendant Appearance Repository	0	173,333	36,333	38,150	40,058	42,060
On-Line Citation Payment	0	200,000	210,000	220,500	231,525	243,101
Collections	0	263,333	36,333	38,150	40,058	42,060
EDMS	0	728,093	1,100,393	1,014,739	1,041,400	1,094,580
Equipment Replacement	0	88,941	250,000	250,000	250,000	250,000
Total Automation	0	3,286,321	2,938,214	3,149,893	2,750,593	2,866,399
County Projects - COT approval						
Apache County Clerk of Superior Court - \$34,326	34,326					
Greenlee County Superior Court - \$28,579	28,579					
Pinal County Clerk of the Superior Court - \$184,315	184,315					
Pinal County Clerk of the Superior Court - \$62,000	62,000					
Total New Automation	309,220	0	0	0	0	0
TOTAL AUTOMATION	9,364,006	12,543,629	10,694,930	11,215,793	11,084,499	11,495,170
END OF YEAR BALANCE	3,402,158	(3,792,595)	(9,907,950)	(17,332,502)	(24,833,883)	(32,968,642)